

FORM PTO-1390 U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE (REV 10-2000)		ATTORNEY'S DOCKET NO 00771.00019
TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371		U.S. APPLICATION NO. (if known, See 37 CFR 1.5) TBA 09/831599
INTERNATIONAL APPLICATION NO PCT/NL99/00689	INTERNATIONAL FILING DATE 11 November 1999	PRIORITY DATE CLAIMED 11 November 1998
TITLE OF INVENTION APPARATUS AND METHOD FOR COATING OBJECTS THROUGH PVD		
APPLICANT(S) FOR DO/EO/US Cornelis P. G. SCHRAUWEN et al.		
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:		
<p>1. <input checked="" type="checkbox"/> This is a FIRST submission of items concerning a filing under 35 U.S.C. 371.</p> <p>2. <input type="checkbox"/> This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371.</p> <p>3. <input checked="" type="checkbox"/> This is an express request to promptly begin national examination procedures (35 U.S.C. 371(f)).</p> <p>4. <input checked="" type="checkbox"/> The US has been elected by the expiration of 19 months from the priority date (PCT Article 31).</p> <p>5. <input checked="" type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371(c)(2))</p> <p>a. <input type="checkbox"/> is attached hereto (required only if not communicated by the International Bureau).</p> <p>b. <input checked="" type="checkbox"/> has been communicated by the International Bureau.</p> <p>c. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US).</p> <p>6. <input checked="" type="checkbox"/> An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).</p> <p>7. <input checked="" type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))</p> <p>a. <input type="checkbox"/> are attached hereto (required only if not communicated by the International Bureau).</p> <p>b. <input type="checkbox"/> have been communicated by the International Bureau.</p> <p>c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired.</p> <p>d. <input checked="" type="checkbox"/> have not been made and will not be made.</p> <p>8. <input type="checkbox"/> An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).</p> <p>9. <input type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).</p> <p>10. <input checked="" type="checkbox"/> An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).</p>		
Items 11-16 below concern other document(s) or information included:		
<p>11. <input checked="" type="checkbox"/> An Information Disclosure Statement under 37 C.F.R. 1.97 and 1.98.</p> <p>12. <input type="checkbox"/> An Assignment document for recording. A separate cover sheet in compliance with 37 C.F.R. 3.28 and 3.31 is included.</p> <p>13. <input checked="" type="checkbox"/> A FIRST preliminary amendment.</p> <p><input type="checkbox"/> A SECOND or SUBSEQUENT preliminary amendment.</p> <p>14. <input type="checkbox"/> A substitute specification.</p> <p>15. <input type="checkbox"/> A change of power of attorney and/or address letter.</p> <p>16. <input checked="" type="checkbox"/> Other items or information: International Search Report (ISA/EPO)</p>		

U.S. APPLICATION NO. <small>Serial No. 66-17 CER 1</small> TBA	097831599	INTERNATIONAL APPLICATION NO PCT/NL99/00689	ATTORNEY'S DOCKET NO 00771.00019																				
17. <input checked="" type="checkbox"/> The following fees are submitted: Basic National Fee (37 CFR 1.492(a)(1)-(5)):			CALCULATIONS PTO USE ONLY																				
Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2) paid to USPTO and International Search Report not prepared by the EPO or JPO			\$1,000.00																				
International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO			\$860.00																				
International preliminary examination fee (37 CFR 1.482) not paid to USPTO but international search fee (37 CFR 1.455(a)(2)) paid to USPTO			\$710.00																				
International preliminary examination fee paid to USPTO (37 CFR 1.482) but all claims did not satisfy provisions of PCT Article 33(1)-(4)			\$690.00																				
International preliminary examination fee paid to USPTO (37 CFR 1.482) and all claims satisfied provisions of PCT Article 33(1)-(4)			\$100.00																				
ENTER APPROPRIATE BASIC FEE AMOUNT = \$860																							
Surcharge of \$130.00 for furnishing the oath or declaration later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(e)).			\$0																				
<table border="1"> <thead> <tr> <th>CLAIMS</th> <th>NUMBER FILED</th> <th>NUMBER EXTRA</th> <th>RATE</th> </tr> </thead> <tbody> <tr> <td>Total Claims</td> <td>19</td> <td>-20 =</td> <td>0 X \$18.00</td> </tr> <tr> <td>Independent Claims</td> <td>1</td> <td>- 3 =</td> <td>0 X \$ 80.00</td> </tr> <tr> <td colspan="3">Multiple dependent claims (if applicable)</td> <td>X \$270.00</td> </tr> <tr> <td colspan="3">TOTAL OF ABOVE CALCULATIONS =</td> <td>\$860</td> </tr> </tbody> </table>				CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE	Total Claims	19	-20 =	0 X \$18.00	Independent Claims	1	- 3 =	0 X \$ 80.00	Multiple dependent claims (if applicable)			X \$270.00	TOTAL OF ABOVE CALCULATIONS =			\$860
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Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property.			\$0																				
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<p>a. <input type="checkbox"/> A check in the amount of \$_____ to cover the above fees is enclosed.</p> <p>b. <input checked="" type="checkbox"/> Please charge my Deposit Account No. 19-0733 in the amount of <u>\$860</u> to cover the above fees. A duplicate copy of this sheet is enclosed.</p> <p>c. <input type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 19-0733. A duplicate copy of this sheet is enclosed.</p>																							
<p>NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b) must be filed and granted to restore the application to pending status.</p>																							
<p>SEND ALL CORRESPONDENCE TO:</p> <p>Banner & Witcoff, Ltd. Eleventh Floor 1001 G Street, N.W. Washington, D.C. 20001-4597</p> <p>Telephone: (202) 508-9100</p>																							
<p><i>Franklin D. Wolffe #35509</i> SIGNATURE</p> <p>Franklin D. Wolffe Registration No. 19,724</p> <p>Date: May 11, 2001</p>																							

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of:

Atty. Dkt. No.: 00771.00019

Cornelis P. G. SCHRAUWEN et al.

Serial No.: TBA

U.S. National Stage of

Filed: Herewith (May 11, 2001)

International Application No.:

For: APPARATUS AND METHOD FOR
COATING OBJECTS THROUGH PVD

PCT/NL99/00689

PRELIMINARY AMENDMENT

BOX PCT
Commissioner for Patents
Washington, D. C. 20231

Sir:

Prior to examination and calculation of any claim fees, please amend the instant application as follows:

IN THE ABSTRACT:

Insert the following Abstract, which is also annexed hereto on a separate sheet:

ABSTRACT OF THE DISCLOSURE

The invention relates to an apparatus for applying at least one coating to objects by means of physical vapour deposition (PVD) under vacuum, including: a preprocessing device for performing a preprocessing on the object; a PVD device for coating an object under a vacuum; and a postprocessing device for postprocessing the objects, wherein: the apparatus comprises a transport device which extends through the preprocessing device, the PVD device and the postprocessing device, the transport device is adapted to transport objects arranged on carriers, and the PVD device is adapted for semi-continuous treatment of objects arranged on the carriers.

IN THE SPECIFICATION:

The specification has been amended herein as follows:

Page 1, between the title of the application and the first paragraph, insert the heading

--Field of the Invention--.

Page 1, between lines 13 and 14, insert the heading --Background of the Invention--.

Page 2, between lines 21 and 22, insert the heading --Brief Description of the Invention--.

Page 6, between lines 6 and 7, insert the heading --Brief Description of the Drawings--.

Page 6, between lines 32 and 33, insert the heading --Detailed Description of the Invention--.

Please amend the paragraph at lines 14-19 on page 1 as follows:

Apparatus for coating objects by PVD are generally known. They are for instance used to apply a thin layer of metal on plastics to give the object the appearance of metal. Examples hereof are for instance caps for cosmetics bottles, prizes such as presented at sporting events, car components and the like.

Please amend the paragraph at lines 22-26 on page 2 as follows:

The object of the present invention is therefore to provide an apparatus with which metal coating with the associated preceding and following operations can take place as far as possible in an automated manner.

Please amend the paragraph at lines 1-2 on page 4 as follows:

If the buffers are adapted to move the carriers in a transverse direction, the buffers take up less space.

Please amend the paragraph at lines 5-12 on page 5 as follows:

Loading and unloading are understood to mean operations wherein the carriers remain on the transport path and the processed objects are exchanged for objects for processing, as well as operations wherein the carriers are removed from the transport path in their entirety and are replaced on the transport path and wherein the actual loading and unloading of the carriers takes place at another location.

Please amend the paragraph at lines 16-21 on page 5 as follows:

This measure provides the advantage that the carriers can be used for different types of objects; only the object holders have to be exchanged.. This is particularly important in respect of the cost of the carriers; these carriers after all comprise expensive components manufactured with great precision.

Please amend the paragraph at lines 18-19 on page 8 as follows:

Shown in figure 3 is that part, of the transport device which functions as an unloading station.

Please amend the paragraph at lines 28-36 on page 10 as follows:

Figure 8 shows in more detail a part of the drive device for transport of carriers 15 inside the vacuum metallization device. The relevant elements are herein mounted on a plate 50, on which are arranged two beams 51 on which guide wheels 52 with guide shafts are mounted. In the middle of each of these beams 51 a guide wheel 52 is further arranged on the other side of the path of the carrier. Finally, support wheels 53 are arranged.

Please amend the paragraph bridging pages 10 and 11 as follows:

Drive wheels 54 are also arranged for driving the carrier 15. Drive wheels 54 are herein driven by means of belts 55. Both belts 55 are trained round a wheel 56 which is driven by a motor mounted beneath the plate. This drawing also shows that a check gate device is again placed in order to determine whether all objects are present on the carrier.

Please amend the paragraph at lines 27-35 on page 11 as follows:

Finally, figure 10 shows the construction of product carriers 15. The product carriers are placed on top of the extension shafts to carry the products for processing. In the present case, the product carriers are formed by a piece of threaded end which can be placed on the extension shaft, wherein discs provided with internal thread can be placed on the threaded ends, the form and position of which discs can be adapted to the relevant products.

IN THE CLAIMS:

Please replace claims 1-19, with the following new claims 20-39:

20. (NEW)Apparatus for applying at least one coating to objects by vapour deposition (PVD) under vacuum, comprising:

a PVD device for coating an object under a vacuum;

at least one lock separating the PVD-device from an ambient environment;

a transport device which extends through the PVD-device and into the lock, wherein the transport device is adapted to transport objects arranged on carriers, and the PVD device is adapted for semi-continuous treatment of objects arranged on the carriers;

a preprocessing device for performing a preprocessing on the object; and

a postprocessing device for postprocessing the object, wherein the transport device extends through said at least one lock, the preprocessing device and the postprocessing device.

21. (NEW)Apparatus as claimed in claim 20, wherein the carriers are elongate and object holders are present on the carriers, the object holders being rotatable and the transport device being adapted to move the carriers substantially in the longitudinal direction and to rotate the object holders in the PVD device.

22. (NEW)Apparatus as claimed in claim 21, wherein the PVD device is connected to the ambient environment by a single lock, the transport device extends through the lock and the lock is adapted to feed a carrier simultaneously into and out of the PVD device.

23. (NEW)Apparatus as claimed in claim 22, wherein the transport device has a closed configuration and extends in two directions through the PVD device.

24. (NEW)Apparatus as claimed in claim 23, wherein a buffer for the carriers is arranged between the preprocessing device and the PVD device.

25. (NEW)Apparatus as claimed in claim 24, wherein a buffer for the carriers is arranged between the PVD device and the postprocessing device.

26. (NEW)Apparatus as claimed in claim 24, wherein the buffers are adapted to move the carriers in transverse direction.

27. (NEW)Apparatus as claimed in claim 20, wherein the preprocessing device comprises a blower device for blowing dust from the objects.

28. (NEW)Apparatus as claimed in claim 20, wherein the preprocessing device comprises an application device for applying a lacquer to the objects that cures with UV or IR radiation, and a device for irradiating the lacquered objects with the relevant radiation.

29. (NEW)Apparatus as claimed in claim 28, wherein the preprocessing device comprises a surface processing device coupled to the application device for processing the surface of the objects prior to the application device applying the lacquer.

30. (NEW)Apparatus as claimed in claim 20, wherein the postprocessing device comprises an application device for applying a lacquer onto the objects that cures UV radiation, and a device for irradiating the lacquered objects with the UV radiation.

31. (NEW)Apparatus as claimed in claim 20, wherein a loading/unloading station is placed between the postprocessing device and the preprocessing device for unloading processed objects and loading objects for processing.

32. (NEW)Carrier for use in an apparatus as claimed in claim 20, wherein an object holder is present on the carrier, the object holder being interchangeable with an object holder present on another carrier.

33. (NEW)Carrier as claimed in claim 32, wherein the object holder is placed on a vertically extending shaft mounted rotatably in the carrier.

34. (NEW)Carrier as claimed in claim 33, wherein a toothed wheel is arranged on the shaft for driving the shaft in rotation.

35. (NEW)Carrier as claimed in claim 34, wherein the toothed wheel is arranged under a top side of the carrier.

36. (NEW)Carrier as claimed in claim 35, wherein the toothed wheel enters openings arranged in the carrier and protrudes outside side walls of the carrier.

37. (NEW)Apparatus adapted for use with the carrier recited in claim 15, the apparatus including a cam for engaging in and rotating the toothed wheel during passage of the carrier.

38. (NEW)Apparatus as claimed in claim 37, wherein the cam forms part of a drivable chain for causing the shaft to rotate independently of the linear movement of the carrier.

REMARKS

By this amendment, an Abstract has been inserted, multiple dependencies have been eliminated from the claims, and the claims have been otherwise amended to comply with standard U.S. practice and improve the clarity of the invention. Claims 20-39 are pending. Examination on the merits of the instant application is respectfully requested.

Respectfully submitted,

Gary D. Fedoruk #35,509
for Franklin D. Wolffe
Reg. No. 19,724

Date: May 11, 2001

Banner & Witcoff, Ltd.
1001 G Street, N.W.
Washington, D.C. 20001-4597
(202) 508-9100

Attachment:

1. Abstract of the Disclosure

FDW:lab

Marked-Up Version of Amendments Made**IN THE SPECIFICATION:**

The specification has been amended herein as follows:

The paragraph at lines 14-19 on page 1 has been amended as follows:

Such Apparatus for coating objects by PVD are generally known. They are for instance used to apply a thin layer of metal on plastics to give the object the appearance of metal. Examples hereof are for instance caps for cosmetics bottles, prizes such as presented at sporting events, car components and the like.

The paragraph at lines 22-26 on page 2 has been amended as follows:

The object of the present invention is therefore to provide an apparatus with which metal coating with the associated preceding and following operations can take place as far as possible in an automated manner.

The paragraph at lines 1-2 on page 4 has been amended as follows:

If the buffers are adapted to move the carriers in a transverse direction, the buffers take up less space.

The paragraph at lines 5-12 on page 5 has been amended as follows:

Loading and unloading are understood to mean operations wherein the carriers remain on the transport path and the processed objects are exchanged for objects for processing, as well as operations wherein the carriers are removed from the transport path in their entirety and are replaced on the transport path and wherein the actual loading and unloading of the carriers takes place at another location.

Marked-Up Version of Amendments Made

The paragraph at lines 16-21 on page 5 has been amended as follows:

This measure provides the advantage that the carriers can be used for different types of objects; only the object holders have to be exchanged.. This is particularly important in respect of the cost of the carriers; these carriers after all comprise expensive components manufactured with great precision.

The paragraph at lines 18-19 on page 8 has been amended as follows:

Shown in figure 3 is that part, of the transport device which functions as an unloading station.

The paragraph at lines 28-36 on page 10 has been amended as follows:

Figure 8 shows in more detail a part of the drive device for transport of carriers 15 inside the vacuum metallization device. The relevant elements are herein mounted on a plate 50, on which are arranged two beams 51 on which guide wheels 52 with guide shafts 52 are mounted. In the middle of each of these beams 51 a guide wheel 52 is further arranged on the other side of the path of the carrier. Finally, support wheels 53 are arranged.

The paragraph bridging pages 10 and 11 has been amended as follows:

Drive wheels 55-54 are also arranged for driving the carrier 15. Drive wheels 54 are herein driven by means of belts 55. Both belts 55 are trained round a wheel 56 which is driven by a motor mounted beneath the plate. This drawing also shows that a check gate device is again placed in order to determine whether all objects are present on the carrier.

The paragraph at lines 27-35 on page 11 has been amended as follows:

Finally, figure 10 shows the construction of product carriers 15. The product carriers are placed on top of the extension shafts to carry the products for processing. In the present case, the product carriers are formed by a piece of threaded end which can be placed on the extension

Marked-Up Version of Amendments Made

shaft, wherein discs provided with internal thread can be placed on the threaded ends, the form and position of which discs can be adapted to the relevant products.

the *Journal of the Royal Society of Medicine* (1957, 50, 101-102) and the *Journal of Clinical Pathology* (1957, 11, 270-271).

ABSTRACT OF THE DISCLOSURE

The invention relates to an apparatus for applying at least one coating to objects by means of physical vapour deposition (PVD) under vacuum, including: a preprocessing device for performing a preprocessing on the object; a PVD device for coating an object under a vacuum; and a postprocessing device for postprocessing the objects, wherein: the apparatus comprises a transport device which extends through the preprocessing device, the PVD device and the postprocessing device, the transport device is adapted to transport objects arranged on carriers, and the PVD device is adapted for semi-continuous treatment of objects arranged on the carriers.

APPARATUS AND METHOD FOR COATING OBJECTS THROUGH PVD

5 The present invention relates to an apparatus for coating objects by means of PVD (Physical Vapour Deposition), comprising:

- a preprocessing device for performing a preprocessing on the object;
- 10 - a PVD device for coating the object under an atmosphere differing from the ambient; and
- a postprocessing device for postprocessing the objects.

Such apparatus are generally known. They are, 15 for instance used to apply a thin layer of metal on plastics to give the object the appearance of metal. Examples hereof are for instance caps for cosmetics bottles, prizes such as presented at sporting events, car components and the like.

20 According to the prior art the objects for processing are placed on racks and provided with a lacquer coating. This lacquer coating enhances adhesion between the plastic from which the object is made and the metal coating to be applied thereto. The lacquer coating 25 moreover provides a smooth surface, so that the reflection of the metal to be coated thereon is improved.

It is further pointed out that the pretreatment can also comprise a treatment prior to lacquering, such as a flame treatment. The surface properties of the 30 plastic object are hereby changed so that the lacquer adheres better to the plastic.

The objects for processing are subsequently placed in a vacuum vessel.

A vacuum is then created in the vacuum vessel 35 and, by means of evaporation of metal objects, metal vapour is introduced in the vacuum vessel which deposits on the lacquered components. This process is known as "Physical Vapour Deposition" (PVD).

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After complete evaporation of the relevant element, air is again admitted into the vessel, whereafter the thus metallized objects on the racks can be removed.

5 The objects are thereafter subjected to a post-treatment, which is generally formed by a new lacquer treatment. It is pointed out here that the applied metal layer is extremely thin and is easily damaged. In order to protect this layer a protective lacquer coating is
10 therefore applied. This lacquer coating further provides the option of changing the colour. In general use is made of aluminium as PVD material, wherein it is possible by colouring the lacquer to change the colour to for instance gold or copper colour.

15 A problem with this method generally used heretofore is that many operations must inevitably be performed manually, requiring much human labour. This is caused by the discontinuous character of the PVD process and by the relatively long drying time of the lacquers
20 once they have been applied to the plastic objects. The work is moreover usually difficult.

The object of the present invention is therefore to provide an apparatus with which metal coating with the associated preceding and following
25 operations can take place as far as possible in automated manner.

This object is achieved in that the apparatus comprises a transport device which extends through the preprocessing device, the PVD device and the
30 postprocessing device; that the transport device is adapted to transport objects arranged on carriers and that the PVD device is adapted for semi-continuous treatment of objects arranged on the carriers.

The semi-continuous character of the PVD device
35 enables successive treatment of the carriers with a series of objects. Because the transport device is adapted for this purpose, and moreover extends through the preprocessing device and postprocessing device, it

becomes possible to treat the objects for vapour deposition without loading and unloading operations. This combination of measures thus enables the use of a certain degree of automation; only at the start do the objects 5 have to be placed on the carriers, and after completion of the postprocessing they can be removed therefrom. Handling of the objects between the treatments, albeit placed on racks, is dispensed with.

According to a preferred embodiment the 10 carriers are elongate and the transport device is adapted to move the carriers substantially in the longitudinal direction.

This configuration has the advantage that the 15 objects arranged on the carriers are always readily accessible for the devices for applying lacquer and the like.

According to another preferred embodiment the 20 PVD device is connected to the ambient by means of a single lock, the transport device extends through the lock and the lock is adapted to feed a carrier simultaneously into and out of the PVD device.

This provides the option of applying a single lock construction, these being generally expensive.

According to yet another preferred embodiment 25 the transport device has a closed configuration and extends in two directions through the PVD device.

This also results in an attractive construction; when for instance further lock 30 constructions are used, they can likewise be used in both directions, while the construction length of the apparatus can moreover be shortened by using it on two sides.

In addition, it is attractive to use buffers between the preprocessing device and the PVD device, and 35 between the PVD device and the postprocessing device. These buffers have the function of compensating for the semi-continuity of the process performed in the PVD devices.

If the buffers are adapted to move the carriers in transverse direction, the buffers take up less space.

According to a particular preferred embodiment, the preprocessing device comprises a blower device for 5 blowing dust from the objects for treating.

This blower device is preferably adapted to blow ionized air.

According to another preferred embodiment the preprocessing device comprises an application device for 10 applying onto the objects for treating a lacquer which cures with UV radiation, as well as a UV radiation device.

The use of lacquer which cures with radiation enables shortening of the drying time, this being one of 15 the most significant drawbacks of the prior art. The use of lacquer which cures with radiation considerably shortens the drying time, so that the objects thus provided with a lacquer coating can be subjected more rapidly to the following processing.

20 According to yet another attractive preferred embodiment, the preprocessing device comprises a surface processing device connected prior to the application device for processing the surface of the objects for treating.

25 This is understood to mean for instance a corona device. The properties of the surface of the objects for treating are herewith changed so as to improve adhesion with the lacquer.

The advantage of the lacquer which cures with 30 radiation applies not only in the pretreatment but also in the post-treatment.

An attractive preferred embodiment further results when a loading/unloading station is placed between the postprocessing device and the preprocessing 35 device for unloading processed objects and loading objects for processing.

This configuration has the advantage that loading and unloading, i.e. the major part of operations

to be performed by human intervention, take place within the same area. This not only has logistic advantages, but also advantages in the field of hygiene and working conditions.

5 Loading and unloading are understood to mean operations wherein the carriers remain on the transport path and the processed objects are exchanged for objects for processing, as well as operations wherein the carriers are removed from the transport path in their 10 entirety and are re-placed on the transport path and wherein the actual loading and unloading of the carriers takes place at another location.

According to yet another preferred embodiment the carriers are provided with interchangeable object 15 holders.

This measure provides the advantage that the carriers can be used for different types of object; only the object holders have to be exchanged. This is particularly important in respect of the cost of the 20 carriers; these carriers after all comprise expensive components manufactured with great precision.

In order to expose the objects properly on all sides to the processes to be applied, it is advantageous when the object holders are placed on vertically 25 extending shafts mounted rotatably in the carriers.

This advantage is further enhanced when toothed wheels are arranged on the shafts for driving the shafts in rotation.

Because application of the lacquer in
30 particular usually involves waste, it is important for
the toothed wheels to be protected against this. For this
purpose they are preferably arranged under the top side
of the carrier. This provides the option of arranging
protective means, so that lacquer dripping from the
35 objects cannot reach the toothed wheels.

According to another preferred embodiment the toothed wheels are let into openings arranged in the carrier and the toothed wheels protrude outside the side

walls of the carrier. This provides the possibility of providing the transport device with gear racks or chains for engaging in and rotating the toothed wheels during passage of the carriers, so that the shafts, and the 5 objects placed thereon by means of the object holders, can thereby be rotated.

The present invention will be elucidated hereinbelow with reference to the annexed drawings, in which:

10 figure 1 shows a perspective schematic view of a complete apparatus according to the present invention;

figure 2 shows a perspective schematic view of the loading and unloading station of the apparatus shown in figure 1 as according to arrow II in figure 1;

15 figure 3 is a detail view as according to arrow III in figures 1 and 2;

figure 4 shows a perspective view of the buffer device according to the present invention as according to arrow IV in figure 1;

20 figure 5 is a perspective detail view as according to arrow V in figure 4;

figure 6 is a perspective detail view of figure 5;

25 figure 7 shows a perspective detail view as according to arrow VII in figure 4;

figure 8 shows a perspective detail view as according to arrow VIII in figure 4;

figure 9 shows a partly broken away perspective view of a vacuum evaporation chamber; and

30 figure 10 shows a perspective view of a carrier which is provided with diverse insert elements for carrying diverse objects for processing.

Figure 1 shows a metallizing apparatus designated in its entirety as 1. Broadly speaking, this 35 metallizing apparatus is formed by a magnetron sputtering device 2, a preprocessing device 3 and a postprocessing device 4. Extending through each of these devices is a transport device 5. A shunting area 6 is arranged between

preprocessing device 3 and PVD device 2 and between PVD device 2 and postprocessing device 4.

This shunting area serves to compensate for timing differences between the continuously operating preprocessing device 3 and postprocessing device 4 and the semi-continuously operating PVD device 2. Transport device 5 is closed and extends in both directions through PVD device 2. Between postprocessing device 4 and preprocessing device 3 the transport device 5 extends 10 through a loading and unloading zone 7.

This loading and unloading zone is further shown in figure 2. This shows that transport device 5 has a U-shape at this location. At the positions where transport device 5 forms an angle are arranged rotation 15 devices 20, each comprising a rotating disc 21. By means of rotating discs 21 it is possible to rotate carrier 15 through an angle of 90°. In order to move carriers 15 onto rotating discs 21 use is made of the drive device which is integrated into transport device 5. In order to 20 move the carriers off the turned rotating disc 21 onto the following part of transport device 5, use is made of a pushing element 22 drivable by a linear drive element 23 arranged above the rotating disc.

The objects 24 for processing are placed on 25 carriers 15 on that part of the transport device 5 placed between the two rotation devices 20. This part forms the loading zone 7A.

The finished products 24 can be taken off the part of transport device 5 preceding rotation device 20. 30 This is the unloading zone 7B.

Figure 2 further shows how a check gate 25 is placed after the second rotation device 20 for checking the presence of and the location in a plane perpendicular to the direction of movement of the objects for treating. 35 The presence detection is important for controlling for instance the lacquer device, so as to prevent an excessive quantity of lacquer being wasted by spraying while no object is passing.

The location detection serves to prevent objects placed askew on the holders from disrupting the movement of the carriers, whereby objects could become jammed or fall off. This would result in stopping of the 5 process, removal of the objects in question and restarting of the process.

The first gate 25 placed after the loading station therefore serves mainly to determine whether the objects are placed correctly. Such a gate is also placed 10 before the vacuum device. In the vacuum device the position of the objects is after all critical, and space is limited, this being particularly important in the case of large objects. Furthermore, the location of the objects on the carrier may have been changed due to the 15 action of the spraying device.

Figure 3 further shows the construction of transport device 5 at the location of unloading zone 7B.

Shown in figure 3 is that part of the transport device which functions as unloading station.

20 The transport device comprises two tubular profiles 26 which are provided at regular distances on their underside with bearing units 27, in which are mounted shafts 28. A support wheel 29 is arranged on each of the shafts 28. Further arranged are two U-shaped 25 profiles 30 which are each fixed against tubular profiles 27. In one of the two U-shaped profiles 30 is arranged a drive chain 31, which is provided with catch elements 32 for moving the carriers 15 along. The chain is guided back again via the underside of the transport device. 30 This transport device extends through the entire apparatus according to the invention.

Carriers 15 are each formed by a carrier body 36, in which are mounted vertically extending shafts 16. Carrier body 36 is provided with recesses 18 through 35 which shafts 16 extend and wherein toothed wheels 17 are arranged on the shafts at the location of recesses 18. These toothed wheels 17 serve to drive the shafts in

rotation when for instance a gear rack or movable chain is arranged along the sides of profile 26.

Each of the shafts are provided on their top with a recess 33 on which extension shafts 34 can be 5 placed. Product holders adapted to the product for processing, which will be described with reference to figure 10, can then be placed on top of extension shafts 34. It is pointed out here that it is possible to use extension shafts 34 of different heights, so that it is 10 possible to adjust the height of the objects for processing on the carriers.

A part of the profile 30 can otherwise be folded down at the location of unloading station 7B, so that carriers 15 can be taken away.

15 Further shown in figure 4 is a buffer device 6 which provides the synchronization of the essentially continuously operating preprocessing and postprocessing device and the semi-continuously operating vacuum metallization device.

20 Buffer device 6 is formed essentially by a frame 35 on which are placed two shafts 37, one of which is drivable by means of a motor 38. A chain wheel 39 is placed on each of the shafts 37, wherein a chain 40 is trained around each pair of chain wheels 39. Supports 41 25 are arranged between the two chains 40 for transporting carriers 15.

Shaft 36 is herein set into intermittent rotation such that supports 41 move intermittently. During the standstill periods of these carriers, the 30 carriers from UV radiation device 10 are loaded onto the support 41 placed in line with transport device 5, while the carrier then placed in front of the transport device of the vacuum metallization device is simultaneously pushed onto the transport device of the metallization 35 device by means of a pushing device 42. A carrier coming from the metallization device is simultaneously pushed onto the relevant support 41 and a carrier placed in front of the transport device of the UV lacquer spraying

device 12 is pushed onto the relevant transport device. The device in question then moves one full stroke, whereafter this process is repeated.

Figure 5 shows how a pushing device 42 is arranged for pushing a carrier 15 onto the relevant support 41. This pushing device 42 is herein driven by a linear drive member 43.

This device further shows how the shafts 16 of carrier 15 can be driven in rotation by means of toothed wheels 17, this independently of the linear movement of carrier 15. Use is made for this purpose of a chain 46, a part of which is arranged parallel to the direction of movement of carrier 15 and which can be driven independently of the transport movement. It will be apparent that it is possible to make use instead of for instance gear racks. These are then arranged fixedly so that the rotation movement is in fact coupled to the transport movement.

Various aspects are shown in more detail in figure 6.

Figure 7 shows in more detail the mechanism with which the movements of supports 41 to the transport device 5 within the vacuum metallization device are executed, and vice versa.

This shows the construction of the linear drive device 43 which is connected by means of a frame 47 to a pushing device 44.

Figure 8 shows in more detail a part of the drive device for transport of carriers 15 inside the vacuum metallization device. The relevant elements are herein mounted on a plate 50, on which are arranged two beams 51 on which guide wheels with guide shafts 52 are mounted. In the middle of each of these beams 51 a guide wheel 52 is further arranged on the other side of the path of the carrier. Finally, support wheels 53 are arranged.

Drive wheels 55 are also arranged for driving the carrier 15. Drive wheels 54 are herein driven by

means of belts 55. Both belts 55 are trained round a wheel 56 which is driven by a motor mounted beneath the plate. This drawing also shows that a check gate device is again placed in order to determine whether all objects 5 are present on the carrier.

Figure 9 shows the construction of the vacuum metallization chamber 4, which otherwise forms the subject-matter of the European patent application 98.203444.9. Here also the same drive device is used. 10 This is further of importance in that plates 57 are arranged to protect the transport device against precipitation of metal. Such plates are otherwise also arranged in the paint spraying devices.

This figure further shows how the actual 15 targets 58 of the magnetron sputtering device are arranged at two different levels. In combination with the different heights of the extension shafts it is thus possible to determine the height and direction of the metallization process.

20 In the present construction the objects for processing pass twice through the magnetron sputtering device, wherein only one of the two passages is effective. The active half of the device is separated from the non-active half of the device by a partition 25 wall 59 of a material on which the sputtered vapour will deposit but which can be removed easily.

Finally, figure 10 shows the construction of product carriers 15. The product carriers are placed on top of the extension shafts to carry the products for 30 processing. In the present case the product carriers are formed by a piece of threaded end which can be placed on the extension shaft, wherein discs provided with internal thread can be placed on the threaded ends, the form and position of which discs can be adapted to the relevant 35 products.

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CLAIMS

(75)

1. Apparatus for applying at least one coating to objects by means of vapour deposition (PVD) under vacuum, comprising:

5 - a PVD device for coating the object under a vacuum;

- at least one lock separating the PVD-device from the ambient;

- a transport device which extends though the PVD-device and into the lock;

10 - wherein the transport device is adapted to transport objects arranged on carriers, and

- the PVD device is adapted for semi-continuous treatment of objects arranged on the carriers, characterized in

15 - that the apparatus comprises:

- a preprocessing device for performing a preprocessing on the object;

- a postprocessing device for postprocessing the objects;

20 - and that the transport device extends though said at least one lock, the preprocessing device and the postprocessing device.

25 2. Apparatus as claimed in claim 1, characterized in that the carriers are elongate, that object holders are present on the carriers, the object holders are rotatable and the transport device is adapted to move the carriers substantially in the longitudinal direction and to rotate the object holders in the PVD-device.

30 3. Apparatus as claimed in claim 2, characterized in that the PVD device is connected to the ambient by means of a single lock, the transport device extends through the lock and the lock is adapted to feed a carrier simultaneously into and out of the PVD device.

4. Apparatus as claimed in claim 3,
characterized in that the transport device has a closed
configuration and extends in two directions through the
PVD device.

5. Apparatus as claimed in claim 4,
characterized in that a buffer for the carriers is
arranged between the preprocessing device and the PVD
device.

6. Apparatus as claimed in claim 5,
10 characterized in that a buffer for the carriers is
arranged between the PVD device and the postprocessing
device.

7. Apparatus as claimed in claim 5 or 6,
characterized in that the buffers are adapted to move the
15 carriers in transverse direction.

8. Apparatus as claimed in any of the foregoing
claims, characterized in that the preprocessing device
comprises a blower device for blowing dust from the
objects for treating.

20 9. Apparatus as claimed in any of the foregoing
claims, characterized in that the preprocessing device
comprises an application device for applying onto the
objects for treating a lacquer which cures with
radiation, for instance UV or IR radiation, and a device
25 for irradiating the lacquered objects with the relevant
radiation.

10. Apparatus as claimed in claim 9,
characterized in that the preprocessing device comprises
a surface processing device connected prior to the
30 application device for processing the surface of the
objects for treating.

11. Apparatus as claimed in any of the
foregoing claims, characterized in that the
postprocessing device comprises an application device for
35 applying onto the objects for treating a lacquer which
cures with radiation, for instance UV radiation, and a

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device for irradiating the lacquered objects with the relevant radiation.

12. Apparatus as claimed in any of the foregoing claims, characterized in that a 5 loading/unloading station is placed between the postprocessing device and the preprocessing device for unloading processed objects and loading objects for processing.

13. Carrier for use in an apparatus as claimed 10 in any of the foregoing claims, characterized in that the object holders are interchangeable object holders.

14. Carrier as claimed in claim 13, characterized in that the object holders are placed on vertically extending shafts mounted rotatably in the 15 carriers.

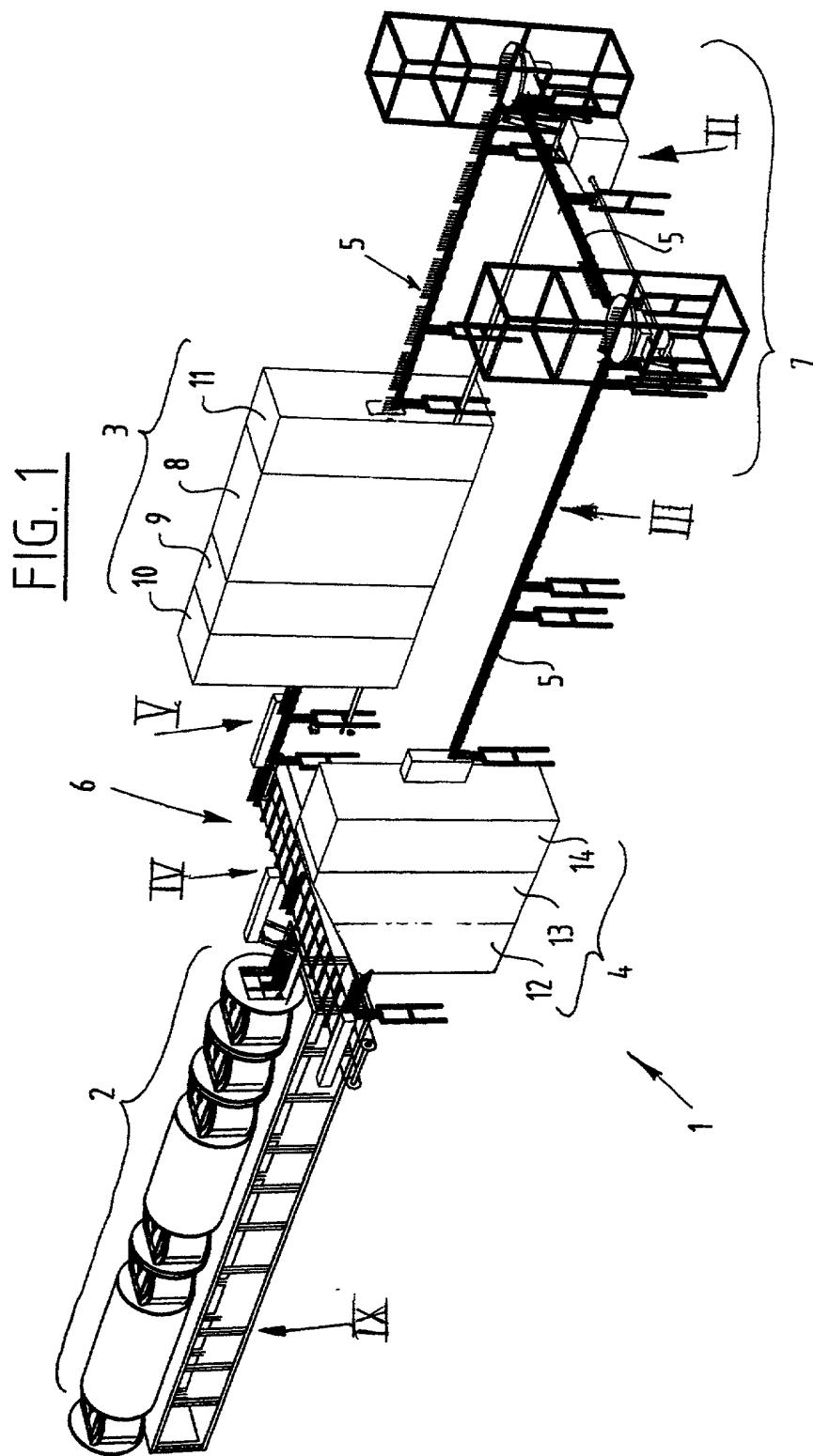
15. Carrier as claimed in claim 14, characterized in that toothed wheels are arranged on the shafts for driving the shafts in rotation.

16. Carrier as claimed in claim 15, 20 characterized in that the toothed wheels are arranged under the top side of the carrier.

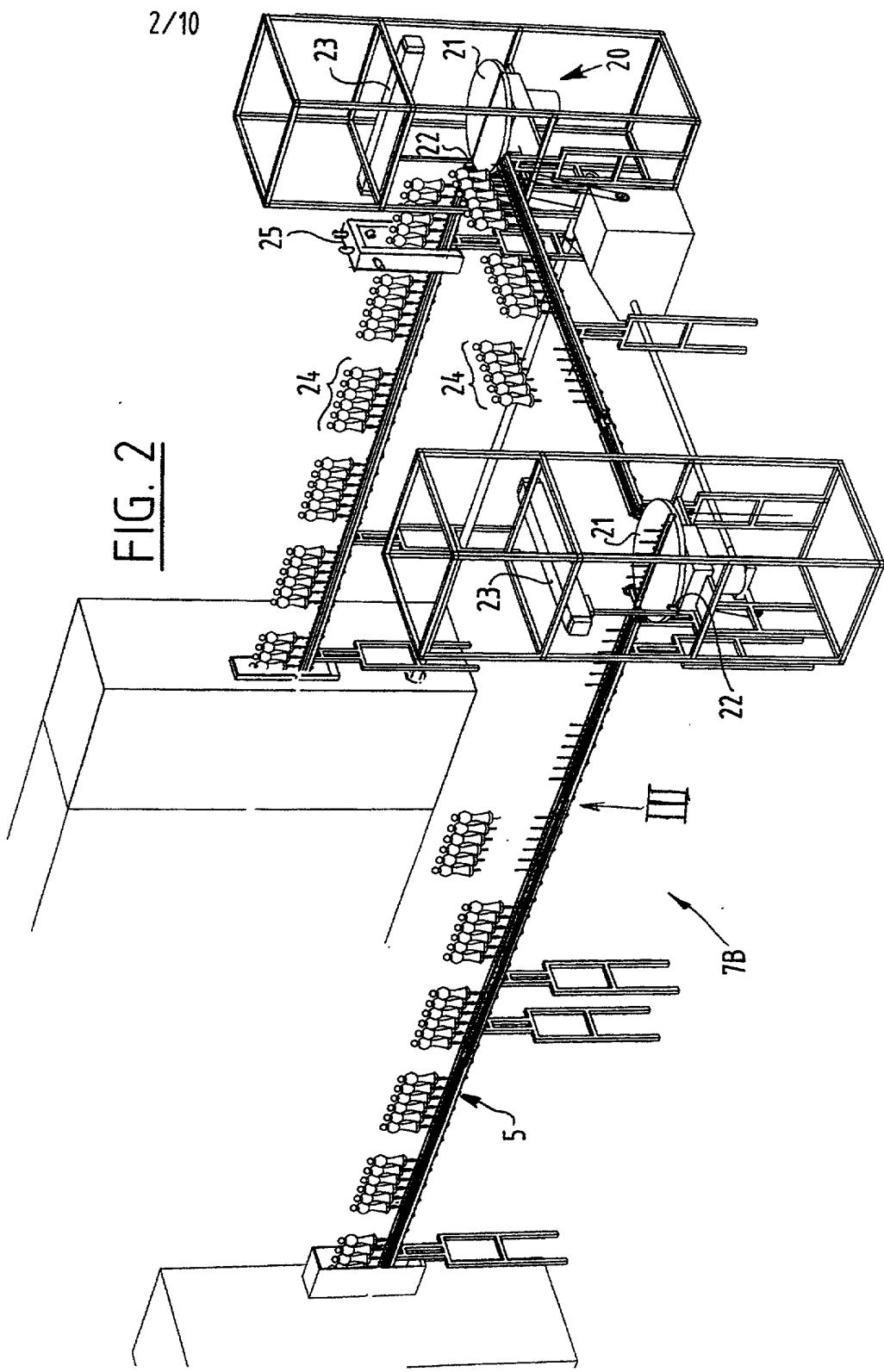
17. Carrier as claimed in claim 16, characterized in that the toothed wheels are let into openings arranged in the carrier and the toothed wheels 25 protrude outside the side walls of the carrier.

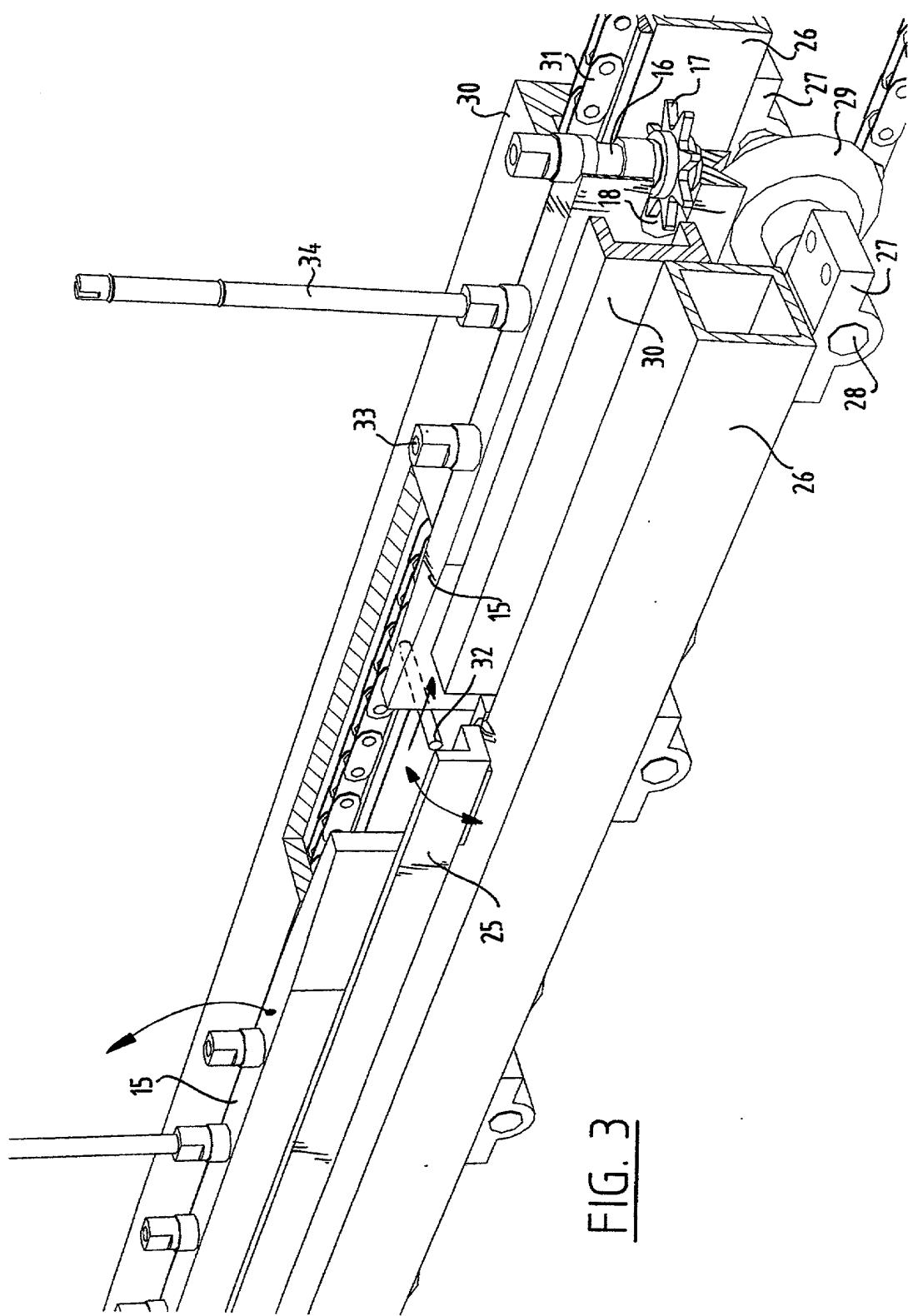
18. Apparatus as claimed in any of the claims 1-12, suitable for carriers as claimed in any of the claims 13-17, characterized in that the apparatus is provided with cams for engaging in and rotating the 30 toothed wheels during passage of the carriers.

19. Apparatus as claimed in claim 18, characterized in that the cams form part of a drivable chain for causing the shafts to rotate independently of the linear movement of the carrier.



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FIG. 2



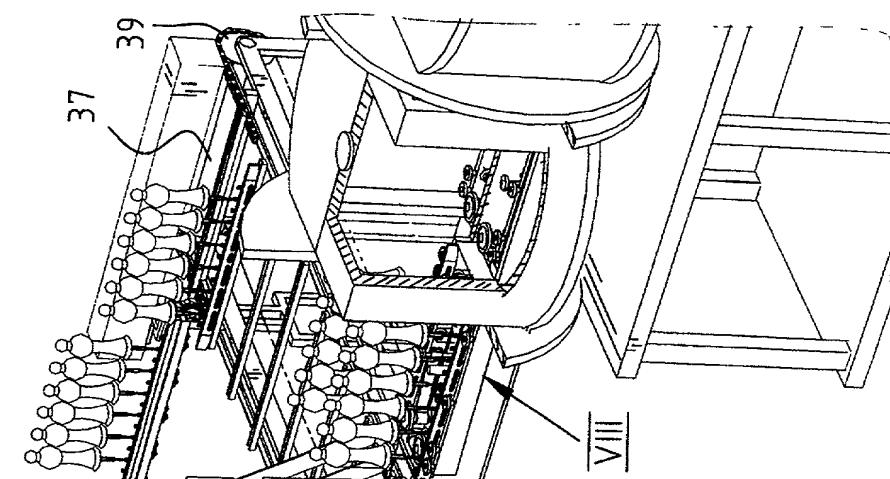
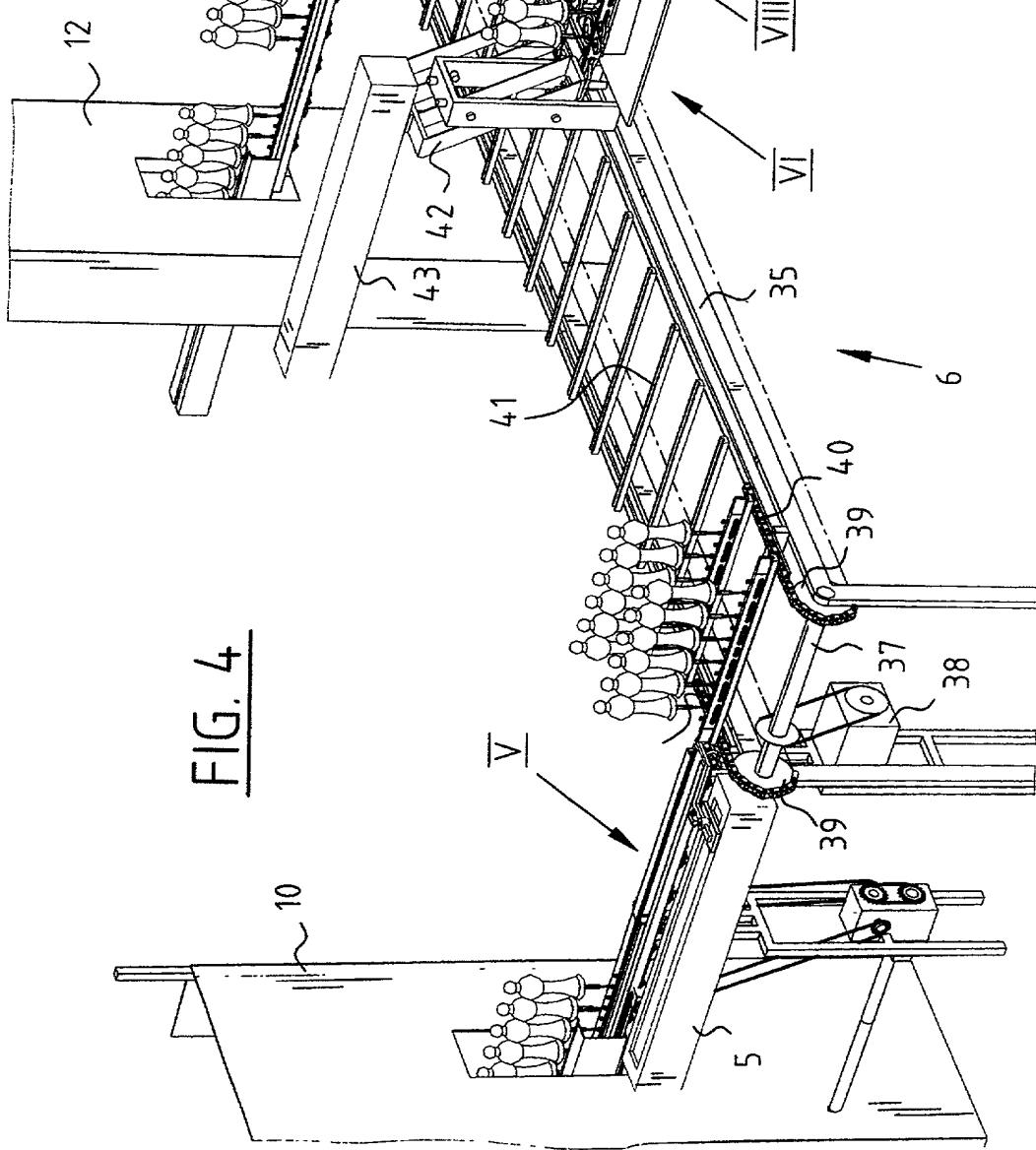
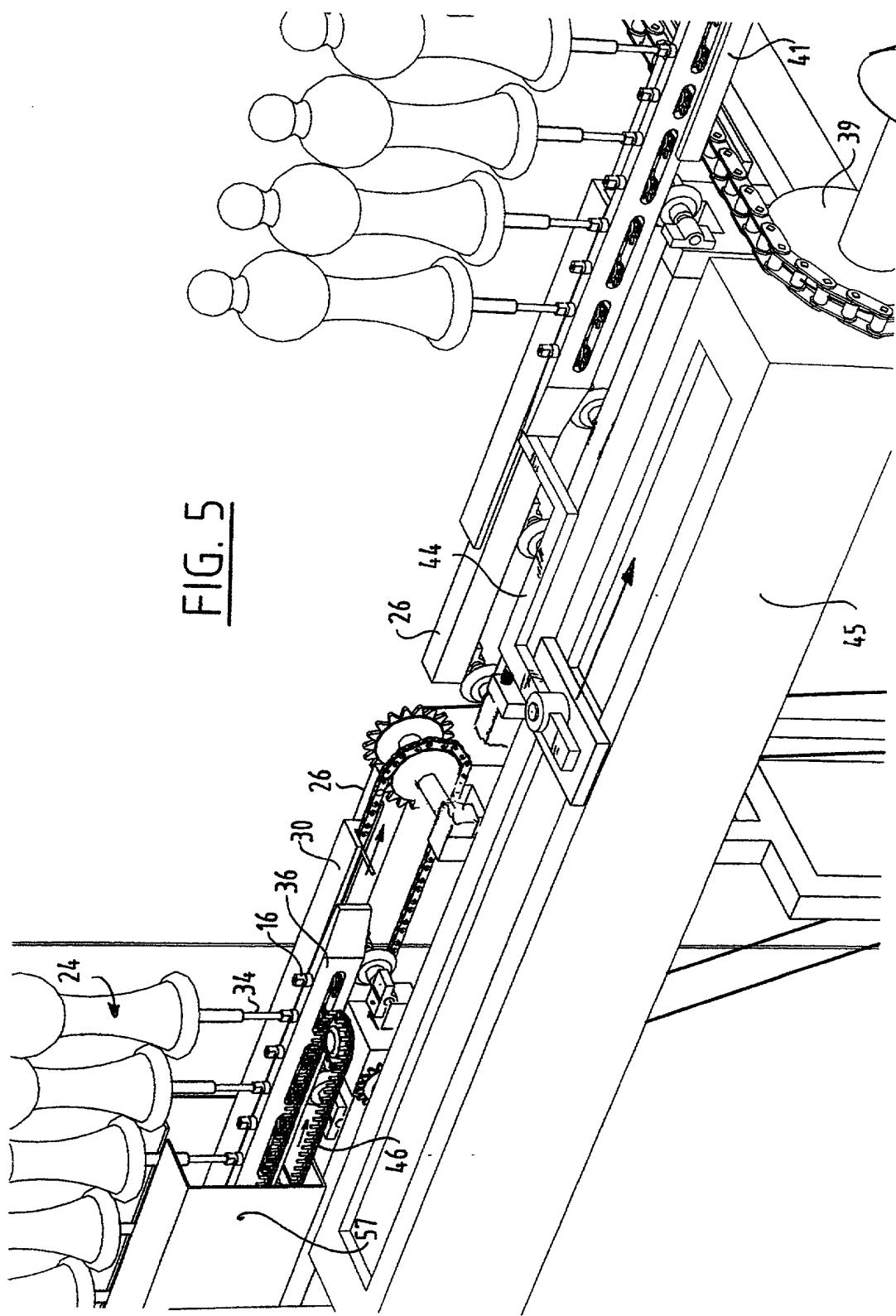
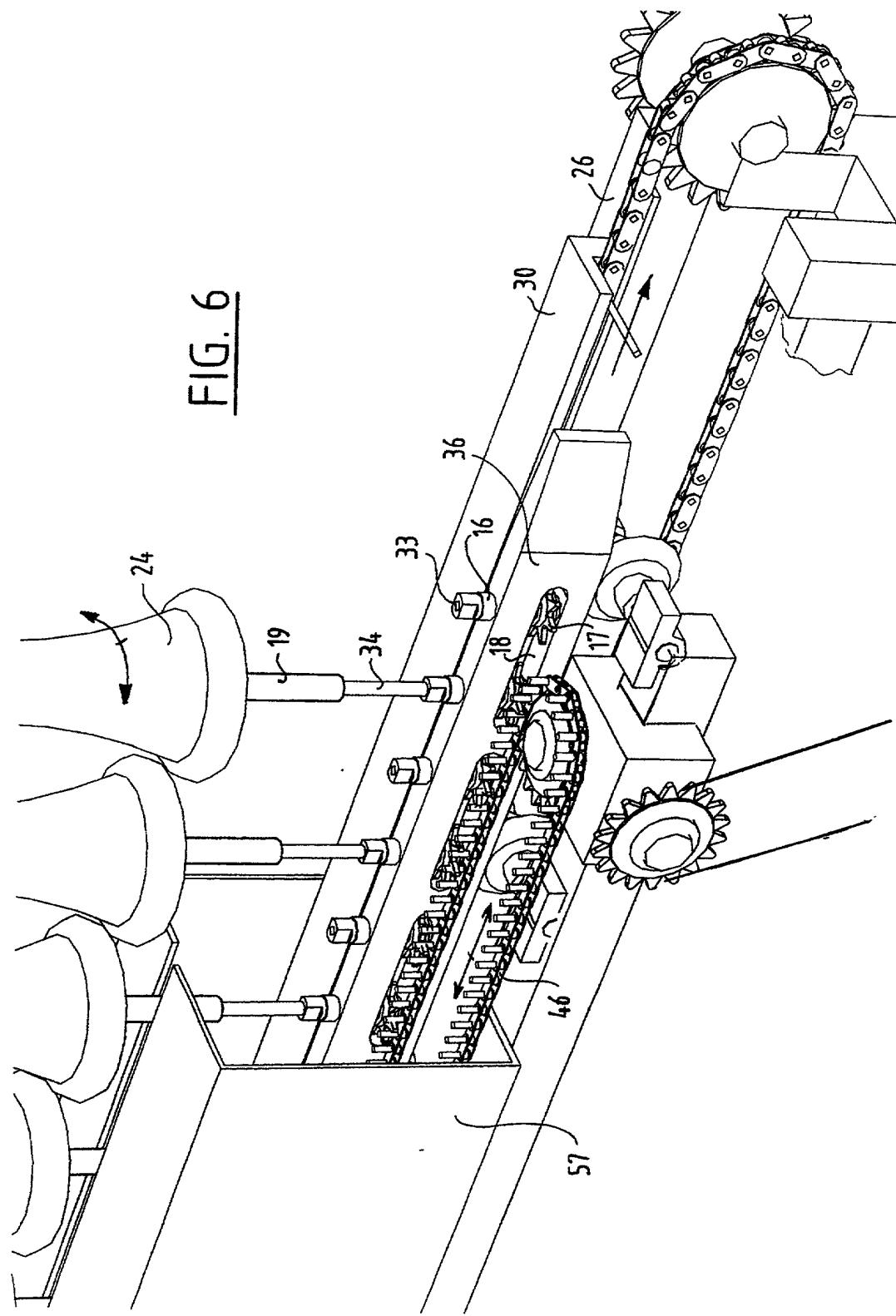
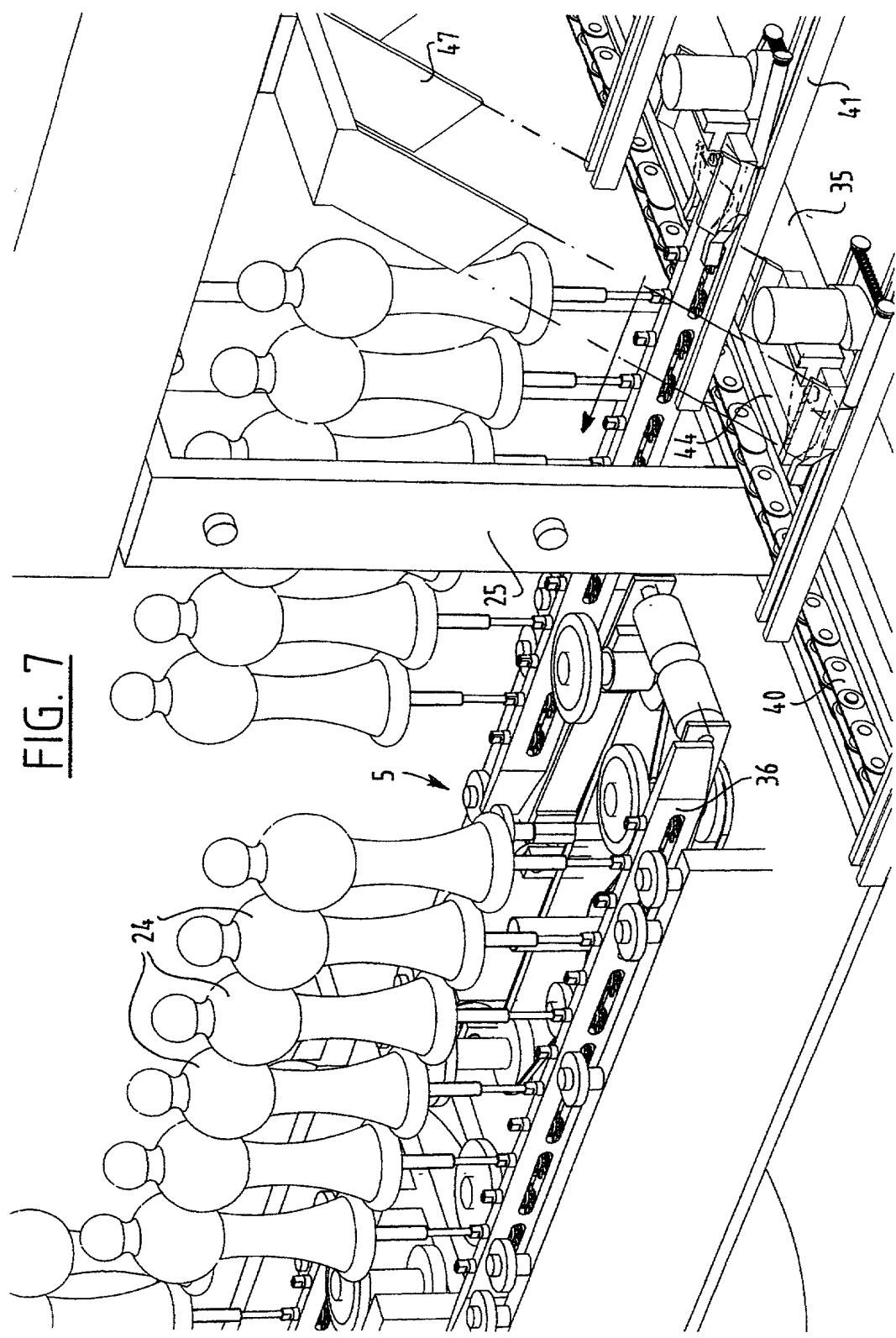


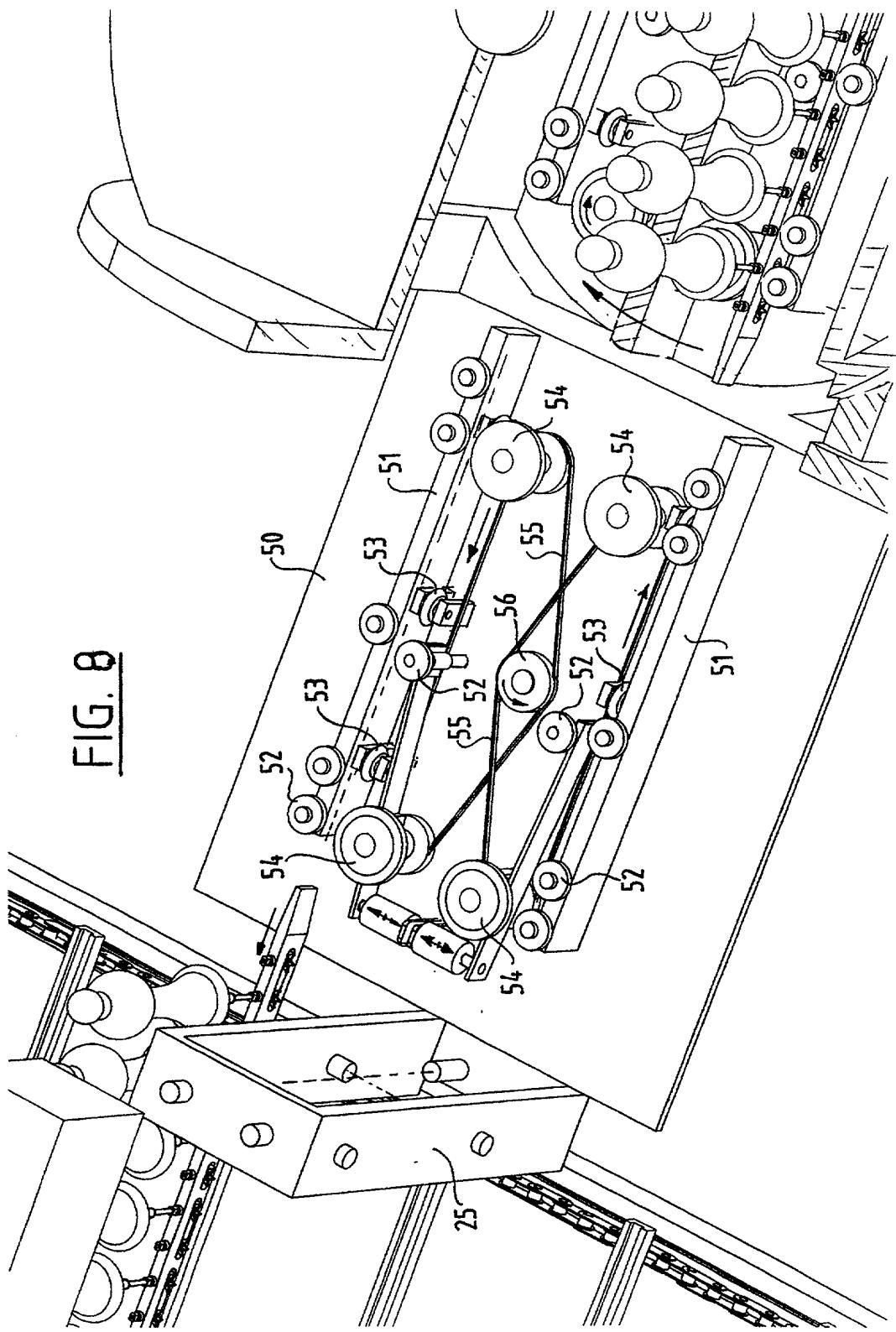
FIG. 4

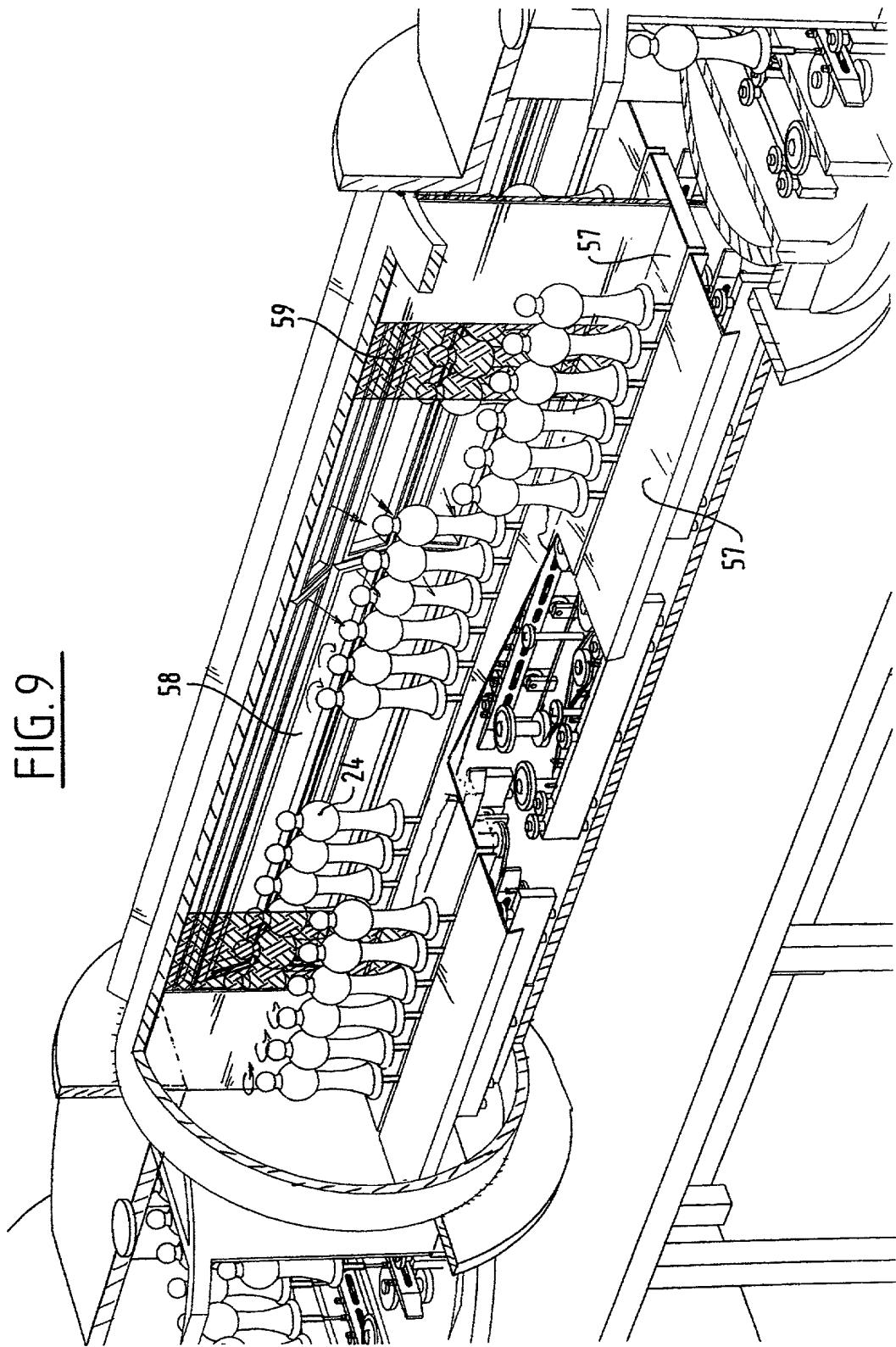


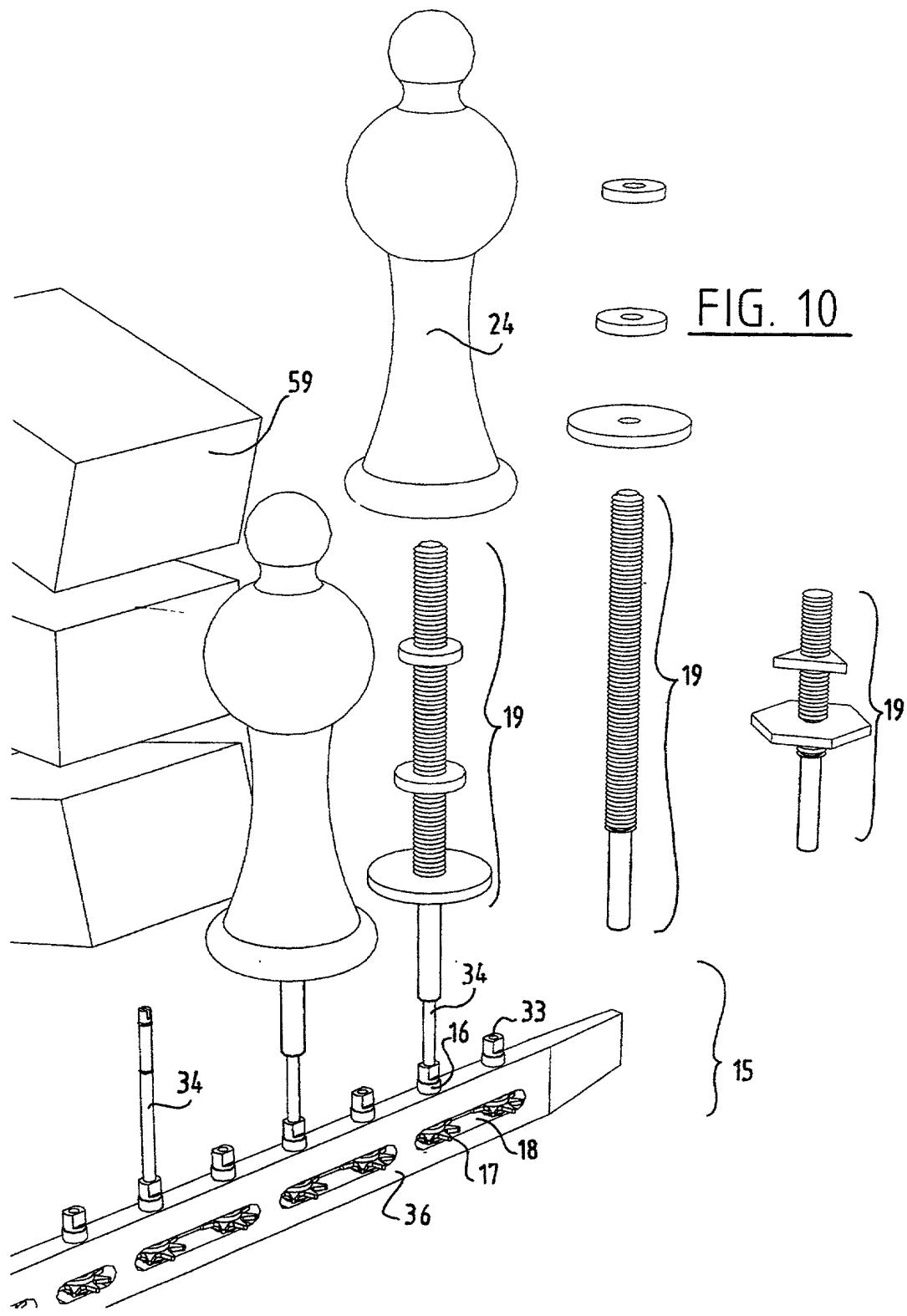




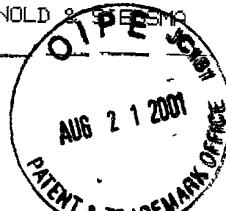








Banner & Witcoff Ref. No.: 00771.00019
 Client Ref. No. G MvZ/Vacu2



JOINT DECLARATION FOR PATENT APPLICATION

As the below named inventors, we hereby declare that:

Our residence, post office address and citizenship are as stated below next to our names:

We believe we are the original, first and joint inventors of the subject matter which is claimed and for which a patent is sought on the invention entitled APPARATUS AND METHOD FOR COATING OBJECTS THROUGH PVD, the specification of which

- is attached hereto.
- was filed on May 11, 2001 as Application Serial Number 09/831,599 and was amended on May 11, 2001 (if applicable).
- was filed under the Patent Cooperation Treaty (PCT) and accorded International Application No. PCT/NL99/00689, filed November 11, 1999, and amended on October 6, 2000 (if any).

We hereby state that we have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

We hereby acknowledge the duty to disclose information which is material to patentability in accordance with Title 37, Code of Federal Regulations, §1.56(a).

Prior Foreign Application(s)

We hereby claim foreign priority benefits under Title 35, United States Code, §119 of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application(s) for patent or inventor's certificate having a filing date before that of the application on which priority is claimed:

Country	Application No.	Date of Filing (day month year)	Date of Issue (day month year)	Priority Claimed Under 35 U.S.C. §119
Netherlands	1010531	11 November 1998		yes

Prior United States Provisional Application(s)

We hereby claim priority benefits under Title 35, United States Code, §119(e)(1) of any U.S. provisional application listed below:

U.S. Provisional Application No.	Date of Filing (day month year)	Priority Claimed Under 35 U.S.C. §119(e)(1)

Prior United States Application(s)

We hereby claim the benefit under Title 35, United States Code, §120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, §112, we acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, §1.56(a) which occurred between the filing date of the prior application and the national or PCT international filing date of this application:

Application Serial No.	Date of Filing (Day, Month, Year)	Status — Patented, Pending, Abandoned

Banner & Witcoff Ref. No.: 00771.00019
 Client Ref. No. G MvZ/Vacu2

Power of Attorney

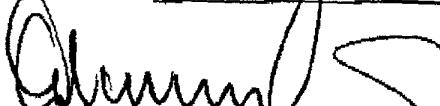
And we hereby appoint, both jointly and severally, as my attorneys, all Banner & Witcoff, Ltd. attorneys indicated therein under PTO Customer Number #22907, with full power of substitution and revocation, to prosecute this application and to transact all business in the Patent and Trademark Office.

All correspondence and telephone communications should be addressed to:

Banner & Witcoff, Ltd.
 Customer Number: 22907 (WDC)

We hereby declare that all statements made herein of our own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

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